

City of Joplin, Missouri  
Disadvantaged Business Enterprise (DBE) Program

## Overall DBE Goal Calculation FISCAL YEAR 2017-2019

### Introduction

In accordance with the Department of Transportation (CDOT) requirements found in 49 Code of Federal Regulations (CFR) Part 26.45, recipients are required to establish a triennial overall goal for participation of Disadvantaged Business Enterprises (DBEs) in DOT assisted contracts.

Recipients are required to submit their triennial goals to the Federal Transit Administration (FTA) by August 1<sup>st</sup>. The recommended triennial goal is for FTA assisted contracts.

In calculating our DBE goal for FY 2017-2019, The City of Joplin has reviewed all known projected FTA funded capital projects and has reviewed all know FTA funded non-capital projects that will be charged directly to operating expenses attributed to providing public transportation.

### Amount of Goal

The triennial overall goal for FY 2017-2019 is 1%.

### Method

Step 1: The City of Joplin calculated its baseline figure for ready, willing, and able DBE firms, per an example of 49 CFR 26.45, by determining all the DBE firms in our marketplace and then dividing that number by the total number of firms (DBE and non-DBE) in our marketplace. This is done by using the City of Joplin bidder's list to determine total number of firms, and using DBE directories (e.g., MoDOT, the City of Joplin bidder's list) to determine the number of certified 49CFR 26 DBEs from the total number of firms for each the North American Industry Classification Code (NAICS) groups associated with the anticipated expenditures for the three year period of the goal. Then the figure is weighted based on each NAICS percentage of total expenditures.

Step 2: Making adjustments to Step 1 by using past DBE participation median from previous years.

Analysis of previous DBE participation indicates the City of Joplin has had successful participation on contracts for items such as office supplies and janitorial supplies, but participation on construction contracts has been very low without the use of contract clauses. The largest budget items for FY 2017-2019 are for items such as bulk fuel and automotive maintenance/repairs which have no available DBE's within our marketplace. Due to the uncertainty of Federal and local levels of program funding, the budgeted construction/replacement projects are a "best case" analysis. I don't know with any certainty that these projects will be funded within the three year period covered by this DBE goal. The 1% Goal is anticipated to come from contracts for office supplies and janitorial supplies, so we feel there isn't a need to adjust the Goal due to past DBE participation. After performing our yearly review of DBE performance, we will utilize contract goals on construction contracts, if necessary, to reach our 1% DBE Goal.

#### Race Neutral/Race Conscious

We anticipate the FY 2017-2019 DBE Goal will be reached 100% through Race Neutral contracts, but we will utilize contract goals on construction contracts, if necessary, to reach our 1% DBE Goal.

#### Consultation

Joplin Chamber of Commerce

Small Business Training & Development Center (MSSU)

Missouri Procurement Technical Assistance Center (MO PTAC)

Joseph Newman Innovation Center (local small business incubator)

Joplin Area Business Expo

Discussed the overall lack of available DBE's within our area, discussed the potential DBE contracting opportunities during the goal period, discussed possible outreach efforts to increase DBE program awareness and increasing the number of certified DBE's in our area.

#### Publication

The FY 2017-2019 DBE Goal was published in the Joplin Globe, Joplin's local daily newspaper, on October 12, 2016, posted to the City of Joplin website, and displayed on the Public Notice Bulletin Board located at the Joplin City Hall Building.

**Step 1 - Determine the weight of each type of work by NAICS Code:**

\* Enter all the FTA-assisted projects below. Project amounts should be assigned relevant NAICS Code(s).

|     | <b>NAICS Code</b>                        | <b>Project</b>                                    | <b>Amount of DOT funds on project:</b> | <b>% of total DOT funds (weight)</b> |
|-----|--|---|--|--------------------------------------|
| 1)  | 424720                                   | 5307 Transit Operations-Fuel                      | \$187,500.00                           | 0.4628                               |
| 2)  | 561720                                   | 5307 Transit Operations-Pest Control              | \$810.00                               | 0.0020                               |
| 3)  | 423850                                   | 5307 Transit Operations-Janitorial Supplies       | \$4,500.00                             | 0.0111                               |
| 4)  | 453210                                   | 5307 Transit Operations-Office Supplies           | \$3,300.00                             | 0.0081                               |
| 5)  | 811111                                   | 5307 Transit Operations-Auto Repairs              | \$180,000.00                           | 0.4443                               |
| 6)  | 337127                                   | Trolley Route Expansion-shelters                  | \$8,000.00                             | 0.0197                               |
| 7)  | 237310                                   | Trolley Route Expansion-shelters (sidewalk)       | \$2,000.00                             | 0.0049                               |
| 8)  | 236220                                   | Trolley Route Expansion-shelters (construction)   | \$4,000.00                             | 0.0099                               |
| 9)  | 323114                                   | 5307 Transit Operations-Printing Services         | \$7,500.00                             | 0.0185                               |
| 10) | 238150                                   | 5307 Transit Operations-Shelter Glass Replacement | \$7,500.00                             | 0.0185                               |
|     | <b>Total FTA-Assisted Contract Funds</b> |   | <b>\$405,110.00</b>                    | <b>1.0000</b>                        |

**Step 2 - Determine the relative availability of DBE's by NAICS Code:**

\* Use DBE Directory, census data and/or a bidders list to enter the number of available DBE firms and the number of available firms.

| NAICS Code                          | Project   | Number of DBEs available to perform this work | Number of all firms available (including DBEs) | Relative Availability |
|-------------------------------------|---|---|--|-----------------------|
| 1) 424720                           | 5307 Transit Operations-Fuel                      | 0   | 7  | 0.0000                |
| 561720                              | 5307 Transit Operations-Pest Control              | 0   | 12   | 0.0000                |
| 2) 423850                           | 5307 Transit Operations-Janitorial Supplies       | 11  | 39   | 0.2821                |
| 3) 453210                           | 5307 Transit Operations-Office                    | 2   | 43   | 0.0465                |
| 811111                              | 5307 Transit Operations-Auto Repairs              | 0   | 16   | 0.0000                |
| 5) 337127                           | Trolley Route Expansion-shelters                  | 0   | 10   | 0.0000                |
| 6) 237310                           | Trolley Route Expansion-shelters (sidewalk)       | 5   | 149  | 0.0336                |
| 7) 236220                           | Trolley Route Expansion-shelters (construction)   | 5   | 149  | 0.0336                |
| 8) 323114                           | 5307 Transit Operations-Printing Services         | 17  | 75   | 0.2267                |
| 9) 238150                           | 5307 Transit Operations-Shelter Glass Replacement | 0   | 2  | 0.0000                |
| 10) <b>Combined Totals</b>          |   | <b>40</b>                                     | <b>502</b>                                     | <b>0.0797</b>         |
| <b>Overall availability of DBEs</b> |   |   |  |                       |

**Step 3 - (Weight) x (Availability) = Weighted Base Figure**

| NAICS Code              | Project                                 | Weight  | x | Availability  | Weighted Base Figure |
|-------------------------|---|---------|---|---------------|----------------------|
| 1) 424720               | 5307 Transit Operations-Fuel            | 0.46284 | x | 0.00000       |                      |
| 2) 561720               | 5307 Transit Operations-Pest Control    | 0.00200 | x | 0.00000       |                      |
| 3) 423850               | 5307 Transit Operations-Janitorial Supp | 0.01111 | x | 0.28205       | 0.0031               |
| 4) 453210               | 5307 Transit Operations-Office Supplie  | 0.00815 | x | 0.04651       | 0.0004               |
| 5) 811111               | 5307 Transit Operations-Auto Repairs    | 0.44432 | x | 0.00000       |                      |
| 6) 337127               | Trolley Route Expansion-shelters        | 0.01975 | x | 0.00000       |                      |
| 7) 237310               | Trolley Route Expansion-shelters (side  | 0.00494 | x | 0.03356       | 0.0002               |
| 8) 236220               | Trolley Route Expansion-shelters (cons  | 0.00987 | x | 0.03356       | 0.0003               |
| 9) 323114               | 5307 Transit Operations-Printing Servi  | 0.01851 | x | 0.22667       | 0.0042               |
| 10) 238150              | 5307 Transit Operations-Shelter Glass   | 0.01851 | x | 0.00000       |                      |
| <b>Total</b>            |   |         |   | <b>0.0082</b> |                      |
| Expressed as a % (*100) |   |         |   |               | <b>0.82%</b>         |

**Rounded, Weighted Base Figure: 1%**

**PUBLIC NOTICE**  
**THE CITY OF JOPLIN**  
**JOPLIN, MISSOURI**

In accordance with requirements of the U.S. Department of Transportation as set forth in 49 C.F.R. Part 26.45, as amended, the Joplin Metropolitan Planning Organization in Joplin, Missouri, hereby notifies the public that it is recommending the following Disadvantaged Business Enterprise (DBE) goal for applicable professional services, construction and procurement contracts during Fiscal Year 2017-2019, beginning October 1, 2017 and ending September 30, 2019. The overall total DBE goal for Fiscal Year 2017-2019 is 1.00%. Information pertaining to this goal and a description of how it was selected is available for inspection from 8:00 a.m. to 5:00 p.m. (central time) at the office of the Transportation Coordinator, 123 S. Main, Joplin, Missouri 64801 for 30 days following the date of this notice. Written comments on this goal will be accepted for 45 days from the date of this notice. The comments are for informational purposes only and may be sent to Mr. Robert Lolley, Transportation Coordinator, 123 S. Main, Joplin, MO 64801, or to the Regional Civil Rights Officer, Federal Transit Administration, 901 Locust Street, Room 404, Kansas City, MO 64106.