City of Joplin, Missouri  
Community Development Block Grant  
And HOME Partnership Investment Program  

FY2018  
ACTION PLAN
Executive Summary

AP-05 Executive Summary - 91.200(c), 91.220(b)

1. Introduction

The FY 2018 Action Plan identifies projects and activities meant to address the needs and goals of the 2015-2019 Consolidated Plan. The plan guides the direction of the CDBG and HOME programs for the next fiscal year.

2. Summarize the objectives and outcomes identified in the Plan

The plan lays out a number of projects meant to address the needs identified in the most recent Consolidated Plan. These projects include:

- Neighborhood Revitalization Activities (Infrastructure Improvements, specifically sidewalks)
- Public Services Activities (HMIS funding, Coordinated Entry Program)
- Housing Rehabilitation Activities
- CHDO New Construction
- Homebuyer New Construction

Maps showing the Geographic Extent of proposed activities is included in Appendix B.

3. Evaluation of past performance

The city’s past programs have focused on community needs that continue to exist including aging housing and infrastructure, and neighborhood and public improvements. The programs the City has used to address these needs have been well received by residents and neighborhood organizations. The City of Joplin will continue to meet its identified needs and goals through housing, infrastructure and public service projects.

4. Summary of Citizen Participation Process and consultation process

The Citizen Participation Plan was updated for the new fiscal year.

The participation process for developing this One Year Action Plan included:

- Two advertised public meetings (January 23, 2018 and February 27, 2018 at Joplin City Hall)
- Two 30-day public comment period (January 24 - February 22, 2018 & February 28 – March 29, 2018)
- Meetings with community partners and stakeholders to discuss previous projects, community needs, and potential for additional partnerships.
• Public Hearing at the April 17, 2018 City Council Meeting; Council approved the plan via resolution at this meeting.

Attendance registers and proof of public notice are included in Attachment A.

5. **Summary of public comments**

One comment received. Voiced concern that funding was lacking to address affordable housing and the needs of the homeless.

6. **Summary of comments or views not accepted and the reasons for not accepting them.**

One comment received. Comment was not in reference to the Action Plan and suggested activities that would not meet national objective.

7. **Summary**

The City of Joplin, in cooperation with area non-profits, will be targeting resources in a data driven method to stabilize neighborhoods and improve neighborhood health. Framework for neighborhood stabilization, cooperatively created with One Joplin Coalition members, will identify neighborhoods in decline and coordinate federal and non-federal expenditures in target areas until a threshold of investment is achieved, and evidence of private investment is returning to the neighborhood.

New targets for expanding CHDO and sub recipient capacity are to be discussed and implemented during the formulation of this Action Plan. The goal is to leverage HOME funds with other sources to increase the number of homes built with existing HOME budget.
PR-05 Lead & Responsible Agencies - 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

<table>
<thead>
<tr>
<th>Agency Role</th>
<th>Name</th>
<th>Department/Agency</th>
</tr>
</thead>
</table>

Table 1 – Responsible Agencies

Narrative

The City of Joplin acts as administrator for all Community Development Block Grant funded projects within the jurisdiction of the City of Joplin and administers all HOME funded projects throughout the jurisdiction of the Joplin HOME Consortia on behalf of all members.

Consolidated Plan Public Contact Information

Troy Bolander, Director of Planning, Development, and Neighborhood Services

602 S. Main St. Joplin, MO 64801

(417) 624-0820 ext. 510
AP-10 Consultation - 91.100, 91.200(b), 91.215(l)

1. Introduction

Consultation on the development of the plan began in November 2017 through discussions with current participating sub-recipients. The plan has been further developed through public meetings and public comment.

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).

The City of Joplin’s Public Housing Authority is a separate entity who develops their own plans and receives their own funding. However, the City does utilize the Housing Authority as a valuable resource in developing plans and identifying at-need populations. One such growing population in the city is the elderly. Our Housing Authority has identified this as a need area and we will be partnering with them to develop strategies by which to remedy this and other problems. City staff and Public Housing Authority staff serve on the Community Housing Resource Board to ensure regular contact and awareness of activities performed by both entities. Currently the City has entered into agreement for $4,000,000 of CDBG-DR assistance in the construction of very-low income elderly bungalows to be administered by the Public Housing Authority.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The City of Joplin assists the area’s Homeless Coalition with the administration of their HMIS system through reimbursement through an annual public service grant. The City also serves as a consultant for the Coalition and will work with the Coalition to develop strategies for combating homelessness. In the development of the Consolidated Plan, we met with Homeless Coalition members to discuss the homeless needs of the area.

Over the course of the past three years, the Homeless Coalition has designed and implemented a Coordinated Entry program. The City partially funds the Coordinated Entry Program through Public Service Grants. The group sends monthly reports to the City of Joplin, and their progress in the program is promising. To date, the Housing Connect program has completed 898 Assessments, and housed 214 individuals.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction’s area in determining how to allocate ESG funds, develop performance standards for and evaluate
outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

The City of Joplin serves as an environmental oversight body for all of the Continuum of Care Organizations Operating in our area; we do not have any control over the allocation of ESG funds. However, we do provide CDBG funds towards the reimbursement of the Homeless Coalition's HMIS use. The system allows the Coalition to identify the shortfalls in our service of homeless populations.

2. Agencies, groups, organizations and others who participated in the process and consultations
Table 2 – Agencies, groups, organizations who participated

<table>
<thead>
<tr>
<th>Agency/Group/Organization</th>
<th>ECONOMIC SECURITY CORPORATION</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Agency/Group/Organization Type</strong></td>
<td>Housing</td>
</tr>
<tr>
<td></td>
<td>Services - Housing</td>
</tr>
<tr>
<td></td>
<td>Services-Children</td>
</tr>
<tr>
<td></td>
<td>Services-Elderly Persons</td>
</tr>
<tr>
<td></td>
<td>Services-Persons with Disabilities</td>
</tr>
<tr>
<td></td>
<td>Services-Persons with HIV/AIDS</td>
</tr>
<tr>
<td></td>
<td>Services-Victims of Domestic Violence</td>
</tr>
<tr>
<td></td>
<td>Services-homeless</td>
</tr>
<tr>
<td></td>
<td>Services-Employment</td>
</tr>
<tr>
<td></td>
<td>Service-Fair Housing</td>
</tr>
<tr>
<td></td>
<td>Regional organization</td>
</tr>
<tr>
<td></td>
<td>Planning organization</td>
</tr>
<tr>
<td><strong>What section of the Plan was addressed by Consultation?</strong></td>
<td>Housing Need Assessment</td>
</tr>
<tr>
<td></td>
<td>Homeless Needs - Chronically homeless</td>
</tr>
<tr>
<td></td>
<td>Homeless Needs - Families with children</td>
</tr>
<tr>
<td></td>
<td>Homelessness Needs - Veterans</td>
</tr>
<tr>
<td></td>
<td>Homelessness Needs - Unaccompanied youth</td>
</tr>
<tr>
<td></td>
<td>Homelessness Strategy</td>
</tr>
<tr>
<td></td>
<td>Non-Homeless Special Needs</td>
</tr>
<tr>
<td></td>
<td>Lead-based Paint Strategy</td>
</tr>
<tr>
<td>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</td>
<td>Economic Security Corporation of the Southwest Area provides a number of services to the Joplin Consortium Area. They preside over the area's Homeless Coalition, are the city's primary CHDO, and they provide a number of other services to low-income individuals. ESC was consulted throughout the process in order to develop a better plan in regards to housing and homelessness strategies.</td>
</tr>
<tr>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>2 Agency/Group/Organization</td>
<td>JOPLIN AREA HABITAT FOR HUMANITY</td>
</tr>
<tr>
<td>Agency/Group/Organization Type</td>
<td>Housing Services - Housing Services-Children Services-Elderly Persons Service-Fair Housing Regional organization</td>
</tr>
<tr>
<td>What section of the Plan was addressed by Consultation?</td>
<td>Housing Need Assessment Market Analysis Lead-based Paint Strategy</td>
</tr>
<tr>
<td>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</td>
<td>Joplin Area Habitat for Humanity is a valuable housing resource for the City of Joplin; the City has partnered with them numerous times in the past to provide housing opportunities for low-moderate income families. With their housing expertise, JAHFH was consulted in regards to housing needs of the area specifically. They provided guidance on housing and market needs.</td>
</tr>
<tr>
<td>3 Agency/Group/Organization</td>
<td>Legal Aid of Southwest Missouri</td>
</tr>
<tr>
<td>Agency/Group/Organization Type</td>
<td>Housing Services - Housing Services-Fair Housing Business and Civic Leaders Non-Profit Collaborative</td>
</tr>
</tbody>
</table>
| What section of the Plan was addressed by Consultation? | Housing Need Assessment  
Anti-poverty Strategy |
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</td>
<td>Legal Aid is a non-profit legal services firm serving low-income citizens of the area. Legal Aid is providing technical support to area nonprofits in the provision of affordable housing.</td>
</tr>
</tbody>
</table>

4 Agency/Group/Organization
Fuller Center

Agency/Group/Organization Type
Housing  
Services - Housing  
Services - Children  
Services - Elderly Persons  
Service - Fair Housing  
Regional organization

| What section of the Plan was addressed by Consultation? | Housing Need Assessment  
Market Analysis  
Lead-based Paint Strategy |
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</td>
<td>The Fuller Center is looking to coordinate with the City of Joplin to implement affordable housing opportunities using non-federally funded projects.</td>
</tr>
</tbody>
</table>

Identify any Agency Types not consulted and provide rationale for not consulting

All necessary parties (including housing providers, homeless coalitions, and the housing authority) were consulted during the planning and development process.
Other local/regional/state/federal planning efforts considered when preparing the Plan

<table>
<thead>
<tr>
<th>Name of Plan</th>
<th>Lead Organization</th>
<th>How do the goals of your Strategic Plan overlap with the goals of each plan?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Continuum of Care</td>
<td>The Homeless Coalition of SWMO</td>
<td></td>
</tr>
<tr>
<td>Comprehensive Plan 2012</td>
<td>The City of Joplin</td>
<td></td>
</tr>
</tbody>
</table>

Table 3 – Other local / regional / federal planning efforts

Narrative

Economic Security Corporation of Southwest Missouri and Joplin Area Habitat for Humanity are key organizations in the Joplin area for addressing the needs of the very-low to moderate income population.

Economic Security Corporation operates the Homeless Coordination Entry Program and is a key member of the Homeless Coalition. Economic Security Corporation also has operated a Homeowner Rehabilitation Program for 30 years and the City of Joplin allocates HOME funds to this existing program to improve program capacity.

Habitat for Humanity is the principle provider of affordable single-family homes in the Joplin area. Habitat is currently reorganizing to seek CHDO designation and increase CHDO capacity. Consultation with Habitat has resulted in new HOME program implementation that is intended to leverage traditional financing to reduce the amount of Federal funds in each project and increase the supply of affordable homes.
AP-12 Participation - 91.401, 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting

The first public hearing on the FY2018 Action Plan was held at the Joplin City Hall on January 23, 2018. Public notice was published within the Joplin Globe on December 4, 2018 and on www.joplinmo.org.

The second public hearing on the FY2018 Action Plan was held in the Fifth Floor Study of City Hall on February 27, 2018 from 5:00 pm - 6:00 pm. Public notice was published in the Joplin Globe on February 11, 2018.

Public comments on the draft plan was accepted through two comment periods, January 24th-February 22nd and February 28th- March 29, 2018.

The final Action Plan was presented to Joplin City Council on April 16, 2018.
## Citizen Participation Outreach

<table>
<thead>
<tr>
<th>Sort Order</th>
<th>Mode of Outreach</th>
<th>Target of Outreach</th>
<th>Summary of response/attendance</th>
<th>Summary of comments received</th>
<th>Summary of comments not accepted and reasons</th>
<th>URL (If applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Website</td>
<td>Citywide</td>
<td>N/A</td>
<td>2</td>
<td>1 – Not Applicable</td>
<td><a href="https://www.joplinmo.org/992/HUD-Documents-and-Program-Applications">https://www.joplinmo.org/992/HUD-Documents-and-Program-Applications</a></td>
</tr>
<tr>
<td>2</td>
<td>Press Release</td>
<td>Citywide</td>
<td>N/A</td>
<td>0</td>
<td>0</td>
<td><a href="https://www.joplinmo.org/">https://www.joplinmo.org/</a></td>
</tr>
<tr>
<td>3</td>
<td>Newspaper</td>
<td>Regional</td>
<td>N/A</td>
<td>0</td>
<td>0</td>
<td><a href="http://www.joplinglobe.com/">http://www.joplinglobe.com/</a></td>
</tr>
<tr>
<td>4</td>
<td>Two Public Meetings</td>
<td>Citywide</td>
<td>4 organization(s) in attendance. Positive response.</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

Table 4 – Citizen Participation Outreach
Expected Resources

AP-15 Expected Resources - 91.420(b), 91.220(c)(1,2)

Introduction

The City of Joplin typically uses its CDBG and HOME allocations towards forwarding the three primary goals of HUD: 1) providing decent housing, 2) providing a suitable living environment and 3) expanding economic opportunities, primarily for low and moderate-income persons. The City accomplishes these goals through a number of different types of projects and activities. For FY2018, the City's expected allocation amounts are
$333,615 for HOME and $491,315 for CDBG.

<table>
<thead>
<tr>
<th>Program</th>
<th>Source of Funds</th>
<th>Uses of Funds</th>
<th>Expected Amount Available Year 1</th>
<th>Expected Amount Available Remainder of ConPlan</th>
<th>Narrative Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>CDBG</td>
<td>public - federal</td>
<td>Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services</td>
<td>Annual Allocation: $491,315  Program Income: $0  Prior Year Resources: $69,597.25  Total: $560,912.25</td>
<td>1,000,000</td>
<td>On average, the City of Joplin receives an approximate $500,000 CDBG allocation annually. These funds will be used to improve infrastructure, encourage economic development, and provide needed public services to residents. A low bid on public infrastructure from previous year has resulted in unanticipated prior year resources.</td>
</tr>
<tr>
<td>Program</td>
<td>Source of Funds</td>
<td>Uses of Funds</td>
<td>Expected Amount Available Year 1</td>
<td>Expected Amount Available Remainder of ConPlan</td>
<td>Narrative Description</td>
</tr>
<tr>
<td>----------</td>
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<td>----------------------------------</td>
<td>---------------------------------------------</td>
<td>-----------------------</td>
</tr>
<tr>
<td>HOME</td>
<td>public - federal</td>
<td>Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA</td>
<td>Annual Allocation: $333,615 Program Income: $0 Prior Year Resources: $0 Total: $333,615</td>
<td>700,000</td>
<td>On average, the City of Joplin receives a $350,000 annual HOME allocation. These funds will be used to provide safe, decent, and affordable housing through New Construction and Rehabilitation Activities.</td>
</tr>
<tr>
<td>Program</td>
<td>Source of Funds</td>
<td>Uses of Funds</td>
<td>Expected Amount Available Year 1</td>
<td>Expected Amount Available Remainder of ConPlan</td>
<td>Narrative Description</td>
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<td>-----------------------------------------------------</td>
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<td>-----------------------</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Annual Allocation: $</td>
<td>Program Income: $</td>
<td>Prior Year Resources: $</td>
<td>Total: $</td>
</tr>
<tr>
<td>Other</td>
<td>public - federal</td>
<td>Admin and Planning Economic Development Homebuyer assistance Homeowner rehab Housing Public Improvements Public Services Other</td>
<td>0 0 74,000,000</td>
<td>74,000,000</td>
<td>74,000,000</td>
</tr>
<tr>
<td>CDBG – Neighborhood Stabilization Program</td>
<td>Public - Federal</td>
<td>Purchase and redevelopment of foreclosed and abandoned homes</td>
<td>0 107.69 18,660.79</td>
<td>18,768.48</td>
<td>18,768.48</td>
</tr>
</tbody>
</table>

**Table 2 - Expected Resources – Priority Table**

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City intends to encourage use of private financing with HOME funds in the mix to increase the provision of affordable housing. More program options in offering homebuyer assistance, in addition to subsidizing construction, can help incentivize homebuilders...
to adjust to program changes and help difficult to qualify homebuyers. Finding qualifying homebuyers has been a challenge for the program. Partial subsidy of construction and homebuyer assistance will spare more funds for other projects, while simultaneously improving a significant difficulty of program participants by qualifying homebuyers. The Community Development office is also encouraging the use of the Missouri Abandoned Housing Act in the hope of providing a previously unused form of low cost acquisition relative to project schedule of values, decreasing the needed federal funds per project.

Another significant source of funding is the City of Joplin's CDBG-DR Round I and II Allocations. Current information on the status of projects and funding can be found at: http://mo-joplincdbgdr.civicplus.com/ However, the City of Joplin's Entitlement and HOME programs do not directly work in the Disaster Area so as to focus on neighborhood redevelopment and avoid duplication of efforts.
If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The City will on occasion donate lots to non-profits or other stakeholders for projects or development. Currently the City is identifying a hand-full of sites for this purpose. There are many City lots located in target areas for community development. The City is researching the process of cleaning titles for the purpose of housing. To this end, the City has already identified four lots to be donated to non-profits that provide affordable housing without using Federal funds. An additional 8 lots should be available in the next year for the local affordable housing developers.

Discussion

Using the City's annual allocations over the course of the next two years, the City's goal will be to develop and revitalize the low-moderate income areas of the community. The primary area of focus for the Action Plan reporting period is the East Town Neighborhood. The City will continue to fund infrastructure projects and encourage its subrecipients to direct housing funding to the neighborhood until the end of this Consolidated Plan.
### Annual Goals and Objectives

**AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)**

#### Goals Summary Information

<table>
<thead>
<tr>
<th>Sort Order</th>
<th>Goal Name</th>
<th>Start Year</th>
<th>End Year</th>
<th>Category</th>
<th>Geographic Area</th>
<th>Needs Addressed</th>
<th>Funding</th>
<th>Goal Outcome Indicator</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Provide safe, decent affordable housing and improve program performance</td>
<td>2018</td>
<td>2020</td>
<td>Affordable Housing</td>
<td>Census Tract 106, Census Tract 101</td>
<td>Safe Decent Affordable Housing</td>
<td>HOME: $300,250</td>
<td>Homeowner Housing Added: 3 minimum. Household Housing Unit Homeowner Housing Rehabilitated: 3 Household Housing Unit</td>
</tr>
<tr>
<td>2</td>
<td>Improve Infrastructure and Encourage Economic Dev.</td>
<td>2019</td>
<td>2020</td>
<td>Non-Housing Community Development</td>
<td>Census Tract 101</td>
<td>Public Infrastructure and Facility Improvements</td>
<td>CDBG: $310,000</td>
<td>Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 7600 Persons Assisted Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 7600 Households Assisted Facade treatment/business building rehabilitation: 2 Business</td>
</tr>
</tbody>
</table>
Goal Descriptions

<table>
<thead>
<tr>
<th>Sort Order</th>
<th>Goal Name</th>
<th>Start Year</th>
<th>End Year</th>
<th>Category</th>
<th>Geographic Area</th>
<th>Needs Addressed</th>
<th>Funding</th>
<th>Goal Outcome Indicator</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>Improve Livelihood through Public Service Activity</td>
<td>2018</td>
<td>2020</td>
<td>Affordable Housing</td>
<td>Census Tract 110 Census Tract 106 Census Tract 101 Census Tract 108</td>
<td>Public Services for Low Income and Special Needs</td>
<td>CDBG: $42,000</td>
<td>Homelessness Prevention: 200 Persons Assisted</td>
</tr>
</tbody>
</table>

Table 3 – Goals Summary

Goal Descriptions

1. **Goal Name**: Provide safe, decent affordable housing
   **Goal Description**: New Construction and rehabilitation projects to be completed through coordination with local non-profit organizations such as Habitat for Humanity and Economic Security Corporation in the East Town Neighborhood, a Low-Mod area.

2. **Goal Name**: Improve Infrastructure and Encourage Economic Dev.
   **Goal Description**: Providing for the repair and rehabilitation of infrastructure in the East Town Neighborhood. These infrastructure projects will seek to add sidewalks, improve road conditions, and repair and add sanitary sewer systems.

3. **Goal Name**: Improve Livelihood through Public Service Activity
   **Goal Description**: Assisting the goals of the Homeless Coalition with the purpose of reducing homelessness though reimbursement for the use of their HMIS system and opening dialogues through reimbursement of the Community Housing Resource Board. In addition, funding will be provided to the Homeless Coalition for the Continuance of their Coordinated Entry Program.
**AP-35 Projects - 91.420, 91.220(d)**

**Introduction**

The City of Joplin will next identify a total of 8 projects in this year’s Annual Action Plan. They are as follows: infrastructure improvements, Missouri Abandoned Housing Act programming, Public Service Grants for HMIS Funding for the Homeless Coalition and Coordinated Entry Program Funding, CDBG admin, CHDO activities, owner-occupied rehabilitation, HOME admin, and funding the Great Day of Service Neighborhood Clean-up.

The City also has a number of ongoing CDBG-DR projects. Current status on the projects (including funding, constructions timelines, and beneficiaries) can be found at: https://joplinmo.maps.arcgis.com/apps/MapSeries/index.html?appid=4ee5fa0f343343208192ef0df305b06

<table>
<thead>
<tr>
<th>#</th>
<th>Project Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Neighborhood Improvements - NID IV</td>
</tr>
<tr>
<td>2</td>
<td>Public Service Activities</td>
</tr>
<tr>
<td>3</td>
<td>Homeowner Rehabilitation</td>
</tr>
<tr>
<td>4</td>
<td>Abandoned Housing Act</td>
</tr>
<tr>
<td>5</td>
<td>CHDO Set-aside</td>
</tr>
<tr>
<td>6</td>
<td>CDBG Administration and Planning</td>
</tr>
<tr>
<td>7</td>
<td>HOME Administration and Planning</td>
</tr>
<tr>
<td>8</td>
<td>Neighborhood Clean-Up</td>
</tr>
</tbody>
</table>

**Table 4 – Project Information**

**Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

Allocation priorities were made based on public input, strategic plan needs and goals, and previous project funding successes. The allocation is based around the increasing demands for affordable housing and infrastructure improvements in the Joplin area. In this Action Plan, we moved away from Public Service Applications and prioritized public service activities focused on addressing homelessness. In this manner, limited public service funds will be prioritized to projects of greatest need.
AP-38 Project Summary

Project Summary Information
<table>
<thead>
<tr>
<th><strong>Project Name</strong></th>
<th>Neighborhood Improvements - NID IV</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Target Area</strong></td>
<td>Census Tract 101</td>
</tr>
<tr>
<td><strong>Goals Supported</strong></td>
<td>Improve Infrastructure and Encourage Economic Dev.</td>
</tr>
<tr>
<td><strong>Needs Addressed</strong></td>
<td>Public Infrastructure and Facility Improvements</td>
</tr>
<tr>
<td><strong>Funding</strong></td>
<td>CDBG: $350,000</td>
</tr>
<tr>
<td><strong>Description</strong></td>
<td>Funding for 2017 infrastructure projects within Neighborhood Improvement District 4.</td>
</tr>
<tr>
<td><strong>Target Date</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Location Description</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Planned Activities</strong></td>
<td>The City will undertake Sidewalk Construction in a LMI Area of the City of Joplin. This project is part of ongoing efforts to revitalize a distressed area of Joplin.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Project Name</strong></th>
<th>Public Service Activities</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Target Area</strong></td>
<td>Citywide</td>
</tr>
<tr>
<td><strong>Goals Supported</strong></td>
<td>Improve Livelihood through Public Service Activity</td>
</tr>
<tr>
<td><strong>Needs Addressed</strong></td>
<td>Public Services for Low Income and Special Needs</td>
</tr>
<tr>
<td><strong>Funding</strong></td>
<td>CDBG: $42,000</td>
</tr>
<tr>
<td><strong>Description</strong></td>
<td>Public Service Activities to serve vulnerable populations within the City of Joplin</td>
</tr>
<tr>
<td><strong>Target Date</strong></td>
<td>200</td>
</tr>
<tr>
<td><strong>Location Description</strong></td>
<td>Citywide</td>
</tr>
</tbody>
</table>
Two activities are planned under this project:

1. Coordinated Entry Program funding will be used to provide coordinated entry assessments of the area homeless.
2. Homeless Coalition HMIS Funding: Funding provided to the Homeless Coalition for use of HMIS, a homelessness database required by the Department of Housing and Urban Development.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>CDBG Administration and Planning</th>
</tr>
</thead>
<tbody>
<tr>
<td>Target Area</td>
<td>Citywide</td>
</tr>
</tbody>
</table>
| Goals Supported      | Provide safe, decent affordable housing  
                        | Improve Infrastructure and Encourage Economic Dev.  
                        | Improve Livelihood through Public Service Activity |
| Needs Addressed      | Safe Decent Affordable Housing  
                        | Public Infrastructure and Facility Improvements  
                        | Public Services for Low Income and Special Needs |
| Funding              | CDBG: $98,263                     |
| Description          | Administration and Planning for CDBG Activities |
| Target Date          |                                   |
| Location Description |                                   |
| Planned Activities   | Pro-rata share of salary and benefits of Planning/ Community Development staff plus overhead including supplies, rent, etc. Also includes $2,500 of funding for the Community Housing Resource Board. |

<table>
<thead>
<tr>
<th>Project Name</th>
<th>2018 Homeowner Rehabilitation</th>
</tr>
</thead>
</table>
| Target Area          | Census Tract 101               
<pre><code>                    | Citywide                       |
</code></pre>
<p>| Goals Supported      | Provide safe, decent affordable housing |
| Needs Addressed      | Safe Decent Affordable Housing |
| Funding              | HOME: $82,500                  |</p>
<table>
<thead>
<tr>
<th>Description</th>
<th>Rehabilitation of Owner-Occupied Units by Economic Security Corporation, a local non-profit housing partner.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Target Date</td>
<td></td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td>4-6</td>
</tr>
<tr>
<td>Location Description</td>
<td></td>
</tr>
<tr>
<td>Planned Activities</td>
<td>Economic Security Corporation (ESC) will act as a subrecipient and manage a homeowner rehabilitation program on behalf of the Consortium. Costs include materials, ESC work crew labor up to $25,000 per home. Soft costs are additional.</td>
</tr>
<tr>
<td>Project Name</td>
<td>2018 CHDO Activities</td>
</tr>
<tr>
<td>Target Area</td>
<td>Citywide</td>
</tr>
<tr>
<td>Goals Supported</td>
<td>Provide safe, decent affordable housing</td>
</tr>
<tr>
<td>Needs Addressed</td>
<td>Safe Decent Affordable Housing</td>
</tr>
<tr>
<td>Funding</td>
<td>HOME: $190,253.50</td>
</tr>
<tr>
<td>Description</td>
<td>A Community Housing Development Organization will act as the owner and developer of homebuyer new construction or rehabilitation activities.</td>
</tr>
<tr>
<td>Target Date</td>
<td></td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td>6 low to moderate-income households.</td>
</tr>
<tr>
<td>Location Description</td>
<td></td>
</tr>
<tr>
<td>Planned Activities</td>
<td>The community development will underwrite activities to determine amount of HOME funds required to make the property affordable</td>
</tr>
<tr>
<td>Project Name</td>
<td>HOME Administration and Planning</td>
</tr>
<tr>
<td>Target Area</td>
<td>Consortia wide</td>
</tr>
</tbody>
</table>
| Goals Supported                                                             | Provide safe, decent affordable housing
  Improve Infrastructure and Encourage Economic Dev.  Improve Livelihood through Public Service Activity |
<table>
<thead>
<tr>
<th>Needs Addressed</th>
<th>Safe Decent Affordable Housing</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Public Infrastructure and Facility Improvements</td>
</tr>
<tr>
<td></td>
<td>Public Services for Low Income and Special Needs</td>
</tr>
<tr>
<td>Funding</td>
<td>HOME: $33,361.50</td>
</tr>
<tr>
<td>Description</td>
<td></td>
</tr>
<tr>
<td>Target Date</td>
<td></td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td></td>
</tr>
<tr>
<td>Location Description</td>
<td></td>
</tr>
<tr>
<td>Planned Activities</td>
<td>Pro-rata share of Planning/ Community Development staff and overhead.</td>
</tr>
<tr>
<td>Project Name</td>
<td>Neighborhood Clean-Up</td>
</tr>
<tr>
<td>Target Area</td>
<td>East Town Neighborhood</td>
</tr>
<tr>
<td>Goals Supported</td>
<td>Provide a safe and clean-living environment</td>
</tr>
<tr>
<td>Needs Addressed</td>
<td>Safe Decent Affordable Housing</td>
</tr>
<tr>
<td></td>
<td>Public Services for Low Income and Special Needs</td>
</tr>
<tr>
<td>Funding</td>
<td>CDBG $10,000</td>
</tr>
<tr>
<td>Description</td>
<td>Provide large trash pick-up in low-income neighborhood during community wide Great Day of Service</td>
</tr>
<tr>
<td>Target Date</td>
<td>Fund will be used over a few years for this activity</td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td>East Town Neighborhood. 40 families direct benefit and 200 potential benefit neighborhood impact</td>
</tr>
<tr>
<td>Location Description</td>
<td>East Town Low-income Neighborhood</td>
</tr>
<tr>
<td>Planned Activities</td>
<td></td>
</tr>
</tbody>
</table>
AP-50 Geographic Distribution - 91.420, 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The City has several census tracts that are identified as to having 51% Low-Moderate Income households. These census tracts are 101, 106, 108, and 110. Public improvements for FY 2018 will be concentrated in tract 101, more specifically in the East Town neighborhood. Utilizing HOME funds, several housing projects will be in this area to make more comprehensive improvements within the tract. Additional HOME projects will be located within the Consortia area.

Geographic Distribution

<table>
<thead>
<tr>
<th>Target Area</th>
<th>Percentage of Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>Census Tract 110</td>
<td></td>
</tr>
<tr>
<td>Census Tract 106</td>
<td></td>
</tr>
<tr>
<td>Census Tract 101</td>
<td>89</td>
</tr>
<tr>
<td>Main Street</td>
<td></td>
</tr>
<tr>
<td>Census Tract 108</td>
<td></td>
</tr>
</tbody>
</table>

Table 5 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

By concentrating a large portion of our funds on a specific area, we can make a more profound impact. East Town is an area that has been identified as high need by city staff and residents who currently reside in the area.

Discussion

The City of Joplin’s target areas are those areas of the City that are generally considered to be low-income or difficult to develop. The City is also coordinating the efforts of area non-profits in the same target area both in terms of housing and services. Non-entitlement funded community projects are underway and are meant to stabilize the target area on a long-term horizon.
Affordable Housing

AP-55 Affordable Housing - 91.420, 91.220(g)

Introduction

Utilizing HOME funds, the City of Joplin will construct a minimum of three new homes and perform owner-occupied rehabilitation on another four. With CDBG funds, the City will focus on completing infrastructure improvements in the target area, and providing public services for the homeless.

<table>
<thead>
<tr>
<th>One Year Goals for the Number of Households to be Supported</th>
</tr>
</thead>
<tbody>
<tr>
<td>Homeless</td>
</tr>
<tr>
<td>Non-Homeless</td>
</tr>
<tr>
<td>Special-Needs</td>
</tr>
<tr>
<td>Total</td>
</tr>
</tbody>
</table>

Table 6 - One Year Goals for Affordable Housing by Support Requirement

<table>
<thead>
<tr>
<th>One Year Goals for the Number of Households Supported Through</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rental Assistance</td>
</tr>
<tr>
<td>The Production of New Units</td>
</tr>
<tr>
<td>Rehab of Existing Units</td>
</tr>
<tr>
<td>Acquisition of Existing Units</td>
</tr>
<tr>
<td>Total</td>
</tr>
</tbody>
</table>

Table 7 - One Year Goals for Affordable Housing by Support Type

Discussion

The yearly goal for the City of Joplin is to be able to provide housing assistance to as many families as possible. Through the construction of new and rehabilitation of old housing inventory, we hope to further affordable housing for citizens.
Introduction

The Joplin Public Housing Authority currently manages 168 public housing units and oversees 435 Section 8 Vouchers. The Housing Authority upkeeps their units to an exceptional standard and ensures Section 8 landlord's compliance. The City of Joplin does not directly oversee or manage the Housing Authority.

Actions planned during the next year to address the needs to public housing

The Joplin Public Housing Authority has identified the following goals during the upcoming year: expand the supply of affordable housing; reduce public housing vacancies; leverage private or other public funds to create additional housing opportunities; improve the quality of affordable housing; increase customer satisfaction; conduct outreach efforts to potential voucher landlords; continue to implement voucher homeownership program; promotion of self-sufficiency of residents. The City has recently entered into agreement with Economic Security Corporation for $4,000,000.00 of CDBG-DR assistance to construct very-low income elderly bungalows, that will be accepting vouchers administered by the public housing authority.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

One of the major goals of the housing authority is the promotion of self-sufficiency for their residents. While there are no formal programs for home ownership or assistance within the housing authority, residents do have resources available to them through the City or other area non-profits. The Housing Authority and the Community Development Office hope to refer one to two Housing Authority residents to become homeowners through CHDO activities within the next reporting period. This intention to provide CR homebuyer assistance as part of CHDO activity underwriting should help qualify least-well off public housing residents that are determined to be good candidates for homeownership.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The Housing Authority is not designated as troubled.

Discussion

The Joplin Public Housing Authority Director meets quarterly with the Community Development Office to inform both organization on ongoing operations. This is achieved through both the Community Development Planner and the Public Housing Director serving on the Community Development Office.
Housing Resource Board.
AP-65 Homeless and Other Special Needs Activities - 91.420, 91.220(i)

Introduction

The Homeless Coalition has been making improvements to permanently house the homeless and address special needs annually.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Homeless Coalition, which is composed of Joplin area homeless shelter providers, requested funds through the public service grant process to provide assistance with the maintenance of the Homeless Management Information System (HMIS). The system is required by HUD to receive ESG assistance. Through use of the system, the coalition was successful in obtaining funding from ESG program in the previous years. The subcommittee decided that due to the importance of this system, it should be funded on an annual basis, rather than making the coalition re-apply through the public service competition each year. The Coordinated Entry Program is the point of contact with the homeless in assessing need. Due to the importance of this service, the program is also to be funded annually.

The Homeless Coalition provided the following information regarding their services:

Prevention
Emergency Shelter
Transitional Housing
Rapid Re-Housing
Permanent Subsidized Supportive Housing
Permeant Housing – Rental and Homeownership

Coordinated Entry assesses homeless need and refers homeless or at risk homeless to appropriate service providers.

Notes: The Homeless Coalition’s outreach efforts will include a variety of strategies to reach people who are living unsheltered. One method will be to host two Project Homeless Connect events per year. (January and July) The events will be advertised through local social service agencies and meal sites. They are set up as a one stop shop event offering information, resources, giveaways, and services on site. All people attending Project Homeless Connect events will be surveyed. The survey collects information about length of homelessness, reasons for homelessness, and tracks a number of indicators.

Outreach also happens once per year when the Point In Time count is conducted. (January) Teams of volunteers divide up the communities and known locations in search of people living unsheltered.
Volunteers survey people they encounter and offer resource information.

**Addressing the emergency shelter and transitional housing needs of homeless persons**

As part of the Continuum of Care the Homeless Coalition regularly analyses gaps in homeless need and services. One area needing increased level of service is emergency shelter and transitional housing. To that end Watered Gardens (Coalition Shelter) is establishing a new facility in the former Washington Elementary School for women with children.

The current emergency shelter providers are:

Restoration Life, Souls Harbor, Watered Gardens, Carthage Crisis Center

The current transitional housing providers are:

The H.O.U.S.E. Inc., Economic Security Corporation, Lafayette House, Carthage Crisis Center

Homeless persons receive referral to providers through Coordinated Entry.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

As part of the established Continuum of Care, the Homeless Coalition employs a Point in Time count, and a Housing Inventory Count to specially identify and house/shelter the chronically homeless, families with children, veterans and unaccompanied youth. Veterans, families with children and unaccompanied youth are tracked monthly and prioritized.

**The Homeless Coalition established committees to address chronically homeless, families with children, veterans and unaccompanied youth:**

- Services for Homeless Veterans
- Monitoring Committee
- Prioritization Committee

Economic Security Corporation also operates the Chronically Homeless Program as part of the Permeant Subsidized Supportive Service implementation of the Homeless Coalition.

Notes: The Homeless Coalition maintains a Coordinated Entry process abiding by Policy 5.00 Order of
**Priority for Permanent Supportive Housing Projects** which outlines how persons experiencing homelessness will be prioritized for housing services in our community. The policy states that the most vulnerable will be prioritized first which would include the chronically homeless.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are:** being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Coalition Members and area service providers refer at risk of homelessness households to Coordinated Entry. Area service providers include non-profit thrift stores, local hospitals, MO Career Center, Veterans Affairs, the Joplin Health Department and Community Development Office, Preferred Family Healthcare providers and Legal Aid of Western Missouri.

Current homeless prevention service providers include Catholic Charities, Economic Security Corporation, Legal Aid, Bright School District, Futures, Children’s Haven, Lafayette House, the Salvation Army and Crosslines.

Legal Aid is instrumental in providing legal services to prevent homelessness due to eviction.

**Discussion**

The Homeless Coalition has made great strides in their services and programming since the implementation of the Housing Connect Program. The Coalition has better input from Coalition members and the community at large. In their partnership with the City and area service providers, particular focus will be placed on prevention activities. Specifically, through an existing coalition of area non-profits known as One Joplin, the City will work with area non-profit and for-profit service providers to ensure that persons are not discharged or released into vulnerable situations. Potential partners include the Housing Authority, Freeman Hospital, Mercy Hospital, and Ozark Center.

Non-housing, special needs populations are at the most risk of becoming homeless. In the upcoming year, the City will provide funding towards the Homeless Coalition's HMIS and Coordinated Entry Programs. Coordinated Entry will help those who are risk of becoming homeless be diverted into stable housing or referred to proper service providers.
AP-75 Barriers to affordable housing -91.420, 91.220(j)

Introduction

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The City has made some progress on objectives laid out in its Analysis of Impediments to Fair Housing. Most notably, the City will be implementing the Missouri Abandoned Housing Act project, as part of the FY2016 Action Plan, to encourage area non-profits to utilize this housing legislation. In addition, the City will soon be updating its zoning code to be more in line with the 2012 Comprehensive Plan. Code updates will be addressing setback, minimum lot size and minimum housing footprint requirements of the existing code to increase affordable housing supply in older affordable neighborhoods, where old recorded lots make new construction difficult to comply with current code. The Joplin Public Housing Authority has had difficulty in landlords accepting housing choice vouchers. The City of Joplin has entered into agreement with Economic Security Corporation for $4,000,000 of CDBG-DR assistance in the construction of low-income elderly bungalows. This development will be accepting vouchers administered by the Public Housing Authority.

Discussion

The City of Joplin has updated the Citizen Participation Plan to be compliant with the implementation of the Assessment of Fair Housing. While implementation of the Assessment of Fair Housing has been delayed by the Department of Housing and Urban Development, and likely not going to be a requirement of the 2020 Joplin Consolidated Plan, the City of Joplin and the Joplin Public Housing Authority are prepared to collaborate when the requirement for the assessment is enforced.
AP-85 Other Actions - 91.420, 91.220(k)

Introduction

Actions planned to address obstacles to meeting underserved needs

FY2018 activities that will address underserved needs of the community will consist of the following Public Service activities. The City of Joplin will help assist with homeless needs (HMIS Reimbursement and Coordinated Entry Program).

Actions planned to foster and maintain affordable housing

The housing activities described earlier (homeowner rehabilitation and CHDO activities) will all foster and maintain affordable housing in the area for a total of approximately 6 affordable units assisted. Furthermore, the City is proactively fostering the use of affordable housing tools to increase the productivity of affordable housing providers. A pilot program to familiarize non-profits with the Abandoned Housing Act will begin within this Action Plan reporting period.

Actions planned to reduce lead-based paint hazards

Lead testing is required for rehabilitation programs. Participating organizations will follow current HUD lead-based paint regulations. In addition, every six months, Economic Security Corporation randomly audits one of its projects to verify compliance. A copy of the City’s current Lead-Based Paint policies is included in the plan appendices.

Actions planned to reduce the number of poverty-level families

FY2017 Action Plan economic development activity will be implemented during the FY2018 Action Plan reporting period. Funds paying for equipment and training to a for-profit employer will provide a minimum of 42 jobs in the next two years. The City of Joplin, through support of the Coordinated Entry Program, hopes to increase job placement of those at risk of homelessness and eventually out of poverty. Further, the provision of affordable housing will alleviate those low-income families effectively living in poverty due to housing cost burden.

Actions planned to develop institutional structure

The City has expanded involvement with One Joplin, a non-profit coalition within the City. One Joplin is increasing collaboration and beginning to conceive of low-income and poverty focused projects, both in services and housing. Partnership with this group will help with outreach and programming, and allow for excellent delivery of services despite decreasing funding. The City Manager is City staff representative to the One Joplin steering team and the Community Development Planner is City staff.
representation on the One Joplin Poverty Team, and the Housing Sub-Committee. The One Joplin Poverty Team Housing Sub-Committee is nearing the end of a planning phase for an affordable micro-home design program, and rental rehabilitation program.

**Actions planned to enhance coordination between public and private housing and social service agencies**

One Joplin is the local coalition of 70 non-profits. Each organization sends representatives to the facilitating teams of Health, Human Services, Poverty, Literacy, and Transportation relevant to their organizational mission. The City Manager sits on the steering team of One Joplin. The Joplin Community Development Planner sits on the Poverty Team and the Housing Sub-Committee. The City will continue its partnership with ESC and Habitat for Humanity as CHDO’s using HOME funds.

**Discussion**
Program Specific Requirements

AP-90 Program Specific Requirements - 91.420, 91.220(l)(1,2,4)

Introduction

Community Development Block Grant Program (CDBG)
Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed 0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan. 0
3. The amount of surplus funds from urban renewal settlements 0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan 0
5. The amount of income from float-funded activities 0

Total Program Income: 0

Other CDBG Requirements

1. The amount of urgent need activities 0

2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan. 84.00%

HOME Investment Partnership Program (HOME)
Reference 24 CFR 91.220(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

   The City offers HOME funds for owner-occupied rehabilitation on a forgivable loan basis and offers homebuyer’s subsidies to allow affordable homes to low and moderate-income homebuyers
through the CHDO activity.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

The CITY shall have the right to review and audit all records of any DEVELOPER pertaining to any payment by the CITY. Said records shall be maintained for a period of five years after the project completion date. Except, for documents imposing Resale/Recapture restrictions which must be retained for Five years after the affordability period terminates [92.508 (c)(2)].

The HOME-assisted housing must meet the affordability requirements for not less than the applicable period specified in the following table, beginning after project completion.[92.254 (a)(4)].

The DEVELOPER shall assure that any NOTES and MORTGAGES recorded for homebuyers shall be in compliance with 24 CFR 92.254 and that the DEVELOPER will monitor each unit for principal residency (under 92.254(a)(3)) and resale/recapture (under 92.254 (a)(4) – (5)).

A copy of the City’s current Deed Restriction and Covenant is found in the Appendices of this Plan

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

The owner-occupied rehabilitation activity through ESC imposes a three-year affordability period on all rehabilitations. The affordability period is record as a Deed Restriction and is forgiven after 3 years, if all program requirements are met. Any funds that may be returned will be treated as program income.

The PJ has structured the CHDO’s and Joplin Area Habitat for Humanity’s recapture provisions based on the amount of HOME assistance that enables the homebuyer to purchase the unit. The period of affordability will be based upon the total amount of HOME funds subject to recapture [CFR 24 92.254(a)(5)(ii)].

When the recapture requirement is triggered by a sale (voluntary or involuntary) of the housing unit, the amount recaptured cannot exceed the net proceeds, if any. The net proceeds are the sales price minus superior loan repayment (other than HOME funds) and any closing costs [CFR 24 92.254(a)(5)(ii)(A)]. The program will use a deed restriction to enforce the recapture provisions.

A copy of the City’s current Deed Restriction and Covenant is found in the Appendices of this Plan.
4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The City currently has no plans to use HOME funds for such refinancing.
Attachments
PUBLIC NOTICE
City of Joplin
Planning, Development and Neighborhood Services
602 S Main St
Joplin, MO 64801
417-624-0820

The City of Joplin is developing a one year Action Plan (FY 2018) for submission to the U.S. Department of Housing Urban Development (HUD). The Community Development Block Grant (CDBG) Program and the Home Investment Partnerships (HOME) Program set forth four (4) basic goals to developing viable urban communities: 1) providing decent housing, 2) providing a suitable living environment and 3) expanding economic opportunities, primarily for low and moderate income persons 4) alleviate blighted conditions.

The estimated amount of CDBG funds to be received for fiscal year 2018 is $491,315.00. The estimated amount of HOME fund to be received for fiscal year 2018 is $333,615.00. All dollar amounts are contingent upon confirmation from HUD. The City of Joplin is an entitlement city for the CDBG program and a participating jurisdiction in the HOME program. CDBG and HOME funding must primarily be used for activities that benefit low and moderate income persons. A public meeting will be held on Tuesday January 23, 2018, 5:00 p.m., in the 5th Floor Conference Room located in City Hall at 602 South Main Street. The purpose of this meeting will be to discuss and provide an opportunity to comment on proposed activities, program performance and obtain views of citizens on housing, non-housing and community development needs for the development of the one-year Action Plan.

The Public is encouraged to submit comments regarding previously funded CDBG activities. Any individual, group or agency is encouraged to submit written comments on the draft FY2018 Action Plan and previous program performance. The public comment period will begin on Wednesday, January 24th and end on Wednesday, February 21st. Any comments received during this period will be considered by the City of Joplin prior to authorizing a submission for request of release of funds. Comments should be sent to the Planning and Community Development office listed above or to Thomas Walters at twalters@joplinmo.org. Copies of the draft FY2018 Action Plan are available for public review at City Hall.
Plan will be available at the public meeting or during the comment period (upon request). All plans can be reviewed on the 4th Floor of Joplin City Hall at 602 S. Main St. Joplin, MO 64801 and at the Joplin Public Library. For additional information, please contact Thomas Walters at (417) 624-0820 ext. 539. If you are in need of disability related auxiliary aids or services, please contact the City Clerk’s office at (417) 624-0820 Ext. 220. Forty-eight (48) hours notice is necessary to make arrangements for the aids or services.

Troy Bolander
Director of Planning, Development & Neighborhood Services
City of Joplin, Missouri

Attention: Legal Ad Supervisor

Please Publish: January 7, 2018
Legal Section

Bill to: City of Joplin
Planning Development and Neighborhood Services
602 South Main Street
Joplin, Missouri 64801

- Please contact Thomas Walters (417) 624-0820 ext. 539, if you have questions regarding this publication request.

PLEASE PUBLISH IN JOPLIN GLOBE: Sunday January 7, 2018
THE JOPLIN GLOBE

P.O. Box 7, Joplin, Missouri 64802
Phone (417) 623-3480
Fax (417) 623-1188

CITY OF JOPLIN
FINANCE DEPARTMENT
602 S. MAIN STREET
JOPLIN MO 64801

AFIDAVIT OF PUBLICATION

State Of Missouri:
Counties Of Jasper/Newton

I, JENNIFER LEE, being duly sworn according to law, STATE that I am Business Manager of THE JOPLIN GLOBE.

The Joplin Globe is a daily newspaper of general circulation in the counties of Jasper/Newton, which has been admitted to the post office as second-class matter in city of Joplin, the city of publication, which newspaper has been published regularly and consecutively for a period of three years and has a list of bona fide subscribers voluntarily engaged as such, who have paid or agreed to pay a stated price for a subscription for a definite period of time, and that such newspaper has complied with the provisions of section 493.050, Missouri Revised Statutes, 1959. The below listed advertisement appeared in the following issue:

<table>
<thead>
<tr>
<th>PUBLICATION</th>
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<th>AD CAPTION</th>
<th>TIMES</th>
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<td>01/07/2018</td>
<td>LEGAL 282/ACTIO 1</td>
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[Signature]

Jennifer Lee  (Business Manager)

Subscribed and sworn to before me this 7th day of January, 2018

[Signature]

[Notary Public]

Diane L. Cotton, Notary Public
02/21/2019

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Annual Action Plan
2018
NEWS RELEASE

FOR IMMEDIATE RELEASE
January 24, 2018

SUBJECT: Community Development Office seeking comment on Fiscal Year 2018 Action Plan

CONTACT: Lynn Onstot, Public Information Officer
417-624-0820, ext. 204

Citizens throughout the Joplin area are encouraged to submit comments regarding the Fiscal Year 2018 Action Plan which identifies how the Joplin region intends to allocate Community Development Block Grant Entitlement and Joplin Area Consortia HOME Investment Partnership funds. The Action Plan serves as the guide for Community Development grant funded activities within the City of Joplin and the Joplin Area Consortia. Activities include infrastructure improvement, public service grants and the provision of affordable housing.

Public Participation is encouraged by the Community Development Office and collections of public comment are a requirement of the Department of Housing and Urban Development. The Community Development Office will be taking public comments until the close of business on Thursday, February 22, 2018. The City Council will vote on the 2018 Action Plan on April 17, 2018 at 6:00 p.m. in the 5th Council Chambers at City Hall.


If there are any questions pertaining to the 2018 Action Plan please contact Thomas Walters at 417-624-0820, extension 539 or by email at twalters@joplinmo.org.

NOTE: If you are in need of disability related auxiliary aids or services, contact the City of Joplin’s ADA Coordinator at 624-0820, Ext. 210, or the City Clerk’s Office at 624-0820, Ext. 220. Kindly give us forty-eight (48) hours notice to arrange for the aids or services. TTD Number (417) 625-4774. Interpreter services are also available if requested at least seven calendar days in advance of the meeting. Servicios de interpretación están disponibles si se piden al menos 7 días antes de la reunión.
PUBLIC NOTICE
City of Joplin
Planning, Development and Neighborhood Services
602 S Main St
Joplin, MO 64801
417-624-0820

The City of Joplin is developing a one year Action Plan (FY 2018) for submission to the U.S. Department of Housing Urban Development (HUD). The Community Development Block Grant (CDBG) Program and the Home Investment Partnerships (HOME) Program set forth four (4) basic goals to developing viable urban communities: 1) providing decent housing, 2) providing a suitable living environment and 3) expanding economic opportunities, primarily for low and moderate income persons 4) alleviate blighted conditions.

The estimated amount of CDBG funds to be received for fiscal year 2018 is $491,315.00. The estimated amount of HOME funds to be received for fiscal year 2018 is $333,615.00. All dollar amounts are contingent upon confirmation from HUD. The City of Joplin is an entitlement city for the CDBG program and a participating jurisdiction in the HOME program. CDBG and HOME funding must primarily be used for activities that benefit low and moderate income persons.

A public meeting will be held on Tuesday, February 27, 2018, 5:00 p.m., in the 5th Floor Conference Room located in City Hall at 602 South Main Street. The purpose of this meeting will be to discuss and provide an opportunity to comment on proposed activities, program performance and obtain views of citizens on housing, non-housing and community development needs for the development of the one-year Action Plan.

The Public is encouraged to submit comments regarding previously funded CDBG activities. Any individual, group or agency is encouraged to submit written comments on the draft FY 2018 Action Plan and previous program performance. The public comment period will begin on Wednesday, February 28th and end on Thursday, March 29th. Any comments received during this period will be considered by the City of Joplin prior to authorizing a submission for request of release of funds. Comments should be sent to the Planning and Community Development Office listed above or to Thomas Walters at twalters@joplinmo.org. Copies of the draft FY 2018 Action Plan are available at the City Hall or online at www.joplinmo.org.
Plan will be available at the public meeting or during the comment period (upon request). All plans can be reviewed on the 4th floor of Joplin City Hall at 602 S. Main St. Joplin, MO 64801 and at the Joplin Public Library. For additional information, please contact Thomas Walters at (417) 624-0820 ext. 539. If you are in need of disability related auxiliary aids or services, please contact the City Clerk’s office at (417)624-0820 Ext. 220. Forty-eight (48) hours notice is necessary to make arrangements for the aids or services.

Troy Bolander
Director of Planning, Development & Neighborhood Services
City of Joplin, Missouri

Attention: Legal Ad Supervisor

Please Publish: February 11, 2018
Legal Section

Bill to: City of Joplin
Planning Development and Neighborhood Services
607 South Main Street
Joplin, Missouri 64801

- Please contact Thomas Walters (417) 624-0820 ext. 539, if you have questions regarding this publication request.

PLEASE PUBLISH IN JOPLIN GLOBE: Sunday February 11, 2018
AFFIDAVIT OF PUBLICATION

State Of Missouri:
County Of Jasper/Newton
I, JENNIFER LEE, being duly sworn according to law, STATE that I am Business Manager of THE JOPLIN GLOBE.

The Joplin Globe is a daily newspaper of general circulation in the county of Jasper/Newton, which has been admitted to the post office as second-class matter in city of Joplin, the city of publication; which newspaper has been published regularly and continuously for a period of three years and has a list of subscribers voluntarily engaged as such, who have paid or agreed to pay a average price for a subscription for a definite period of time, and that such newspaper has complied with the provisions of section 483.060, Missouri Revised Statutes, 1963. The below listed advertisements appeared in the following issue:

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<td>LEGAL 348/ACTIO 1</td>
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</tbody>
</table>

Jennifer Lee

[Signature]

Business Manager

Subscribed and sworn to before me this 11th day of
February, 2018

[Notary Public]

[Notary Public]

[Signature]

[Notary Public]

02/21/2018
<table>
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<tr>
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</tr>
</thead>
<tbody>
<tr>
<td>Agency</td>
<td>Corporation</td>
</tr>
<tr>
<td>Address</td>
<td>335 South Main St.</td>
</tr>
<tr>
<td>Phone</td>
<td>417-359-3106</td>
</tr>
<tr>
<td>Email Address</td>
<td><a href="mailto:doelker@joplinmo.gov">doelker@joplinmo.gov</a></td>
</tr>
</tbody>
</table>

Public Meeting - CDBG & HOME Program; FY2018 Action Plan

Please Print

Place: Joplin City Hall
5th Floor Study
NEWS RELEASE

FOR IMMEDIATE RELEASE
February 20, 2018

SUBJECT: Community Development Office seeking comment on Fiscal Year 2018 Action Plan

CONTACT: Lynn Onstot, Public Information Officer
417-624-0820, ext. 204

Citizens throughout the Joplin area are encouraged to submit comments regarding the Fiscal Year 2018 Action Plan which identifies how the Joplin region intends to allocate Community Development Block Grant Entitlement and Joplin Area Consortia HOME Investment Partnership funds. The Action Plan serves as the guide for Community Development grant funded activities within the City of Joplin and the Joplin Area Consortia. Activities include infrastructure improvement, public service grants and the provision of affordable housing.

Public Participation is encouraged by the Community Development Office and collections of public comment are a requirement of the Department of Housing and Urban Development. The Community Development Office will be holding a second public meeting February 27th on the fifth floor of City Hall and taking public comments until the close of business on Thursday, March 29, 2018. The City Council will vote on the 2018 Action Plan on April 17, 2018 at 6:00 p.m. in the 5th Council Chambers at City Hall.


If there are any questions pertaining to the 2018 Action Plan please contact Thomas Walters at 417-624-0820, extension 539 or by email at twalters@joplinmo.org.

NOTE: If you are in need of disability related auxiliary aids or services, contact the City of Joplin’s ADA Coordinator at 624-0820, Ext. 210, or the City Clerk’s Office at 624-0820, Ext. 220. Kindly give us forty-eight (48) hours notice to arrange for the aids or services. TTD Number (417) 625-4774. Interpreter services are also available if requested at least seven calendar days in advance of the meeting. Servicios de interpretación están disponibles si se piden al menos 7 días antes de la reunión.
East Town Neighborhood Current Focus of Community Development

Home Funds will be spent throughout the Joplin HOME Investment Partnership Consortium with a focus of investment in the East Town neighborhood.
Annual Action Plan
2018

OMB Control No: 2506-0117 (exp. 06/30/2018)
Section 7 - APPENDIX A - Lead Regulations

LEAD BASED PAINT
(24 CFR PART 35)
REFERENCE GUIDE

(SUB-GRANTEES are to refer to, and comply with, all of the actual lead paint regulations and HOME regulations when lead hazards are involved. The following is only an overview.)

A. HUD’s Lead-Safety Regulation

Federal Register (Wednesday, September 15, 1999)

Department of Housing and Urban Development
24 CFR Part 35. et al.
Requirements for Notification, Evaluation, and Reduction of Lead-Based Paint Hazards in Federal Owned Residential Property and Housing Receiving Federal Assistance; Final Rule

B. Exemptions 24 CFR 35.115

- Post-1977 housing (1978 and newer)
- Zero-bedroom units
- Property certified as lead-based paint free
- Property where lead-based paint was removed
- Disaster Related Emergency & Accessibility actions
  Note: Always get these prior approved by the PJ first.

C. Documentation Required

Notice To Occupants
- Distribution of the “EPA Lead Hazard Information” Pamphlet to homeowner
- “Notice of Hazard Evaluation” (or presumption) within 15 days and “Notice of Hazard Reduction & Clearance” within 15 days

Hazard Reduction Work
- Final scope of work with both lead- and non lead-paint (included in Work Write-Up/Cost Estimate)
- Worker qualifications (must be pre-approved with JC)
- Safe Work Practices at 24 CFR Part 35.1250 observed
- Lead Clearance must be achieved after all rehab work done

Clearance Report (after completion of rehab)
- copy of clearance report must be sent to the PJ at time of payment request
- must be performed by a certified paint inspector or risk assessor.
D. General Procedural Overview

Lead-based paint testing and risk assessment will also be conducted by a qualified SUB-GRADEE Inspector or, an outside certified lead-based trained inspector should be hired. The presumption of lead-based paint or the lead paint inspection must be performed in all areas of the home (pre-1978) including exteriors of the home.

The scope of work must integrate both "lead" and "non-lead" triggered activities. (Provide preliminary work write-up to Risk Assessor, then incorporate the assessment’s findings.)

The entire house must pass a Lead Clearance test. HOME funds must first be allocated to lead stabilization or abatement projects so the house complies with the Lead Safe Housing Rule before other projects can commence. The exception to this would be accessibility or emergency repair projects.

Course of Action:

1. Determine level of hazard evaluation and reduction (24 CFR 35.915-930)
2. Presume lead or evaluate (option – 24 CFR 35.120), evaluate recommended
3. Perform paint testing and risk assessment by certified personnel
4. Include in the scope of work for "lead" activities the interim controls and/or abatement recommendations from a risk assessor, and safe work practices for items determined to be lead paint.
5. Determine impact of 'occupant relocation' requirements (24 CFR 35.1345).
6. Based on the results of paint testing and/or risk assessment, establish contractor qualifications (see safe work practices and 35.1325-1330), safe work practices to be used (including occupant protections), and achieving clearance in bid invitation and contract.
7. Relocate occupants and belongings if containment cannot be achieved (24 CFR Part 35.1345).
8. Supervise work so that “Safe Work Practices” at 24 CFR Part 35.1350 are used: worksite is prepared/contained and occupants and their belongings are protected, prohibited methods of paint removal are not used, specialized cleaning is conducted to achieve clearance. Verification that these practices were observed must be provided to the PJ. This is recommended to take the form of a signed letter/form accompanied by photos that may provide proof of compliance. The occurrence of this monitoring should be no less than 1 verification for every 6 months. (Amended 11/19/12)
9. Property must be inspected by a licensed lead paint inspector, risk assessor, or lead sampling technician and pass the lead clearance evaluation after all rehab work is done.

E. Lead Hazard Evaluation Methods & Qualifications

1. Visual Assessment: A visual assessment for deteriorated paint consists of a visual search for cracking, scaling, peeling, or chipping paint. This assessment does not identify the presence of lead, only the potential danger and is only allowed for projects that do not exceed $5,000. The assessment is performed by either a certified risk assessor or Housing Quality Standards (HQS) inspector trained in visual assessment.

2. Paint Testing: entails testing painted surfaces to determine if they contain lead-based paint, using methods such as XRF analyzer or a laboratory analysis. (Note: Paint testing differs from a lead-based paint inspection, which is a surface-by-surface investigation to determine the presence of lead-based paint. Typically, the XRF analyzer is used for an inspection. Because an inspection evaluates all painted surfaces, is more comprehensive than the paint testing.) Paint testing must be conducted by state certified paint inspectors or risk assessors.
3. **Risk Assessment:** is a comprehensive investigation of a dwelling to identify lead-based paint hazards that includes paint testing, dust and soil sampling, and a visual evaluation. Risk assessment details are summarized in a written report with recommendations for actions. A certified risk assessor must conduct the assessment.
# Summary of Lead-Based Paint Requirements by Activity

<table>
<thead>
<tr>
<th>Approach to Lead Hazard Evaluation and Reduction</th>
<th>Rehabilitation</th>
</tr>
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<tbody>
<tr>
<td>1. Do no harm</td>
<td>&lt;$5,000</td>
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<tr>
<td>2. Repair surfaces disturbed during rehabilitation</td>
<td></td>
</tr>
<tr>
<td>3. Identify and control lead hazards</td>
<td>$5,000 - $25,000</td>
</tr>
<tr>
<td>4. Identify and abate lead hazards</td>
<td>&gt;$25,000</td>
</tr>
</tbody>
</table>

**Notification**
- Yes
- Paint Testing (of surfaces to be disturbed), Visual Assessment allowed
- Repair surfaces disturbed during rehabilitation

**Lead Hazard Evaluation**
- Paint Testing and Risk Assessment
- Interim Controls

**Lead Hazard Reduction**
- Safe work practices
- Clearance Required for entire house
- Abatement (Interim Controls on exterior surfaces not disturbed by rehabilitation)

**Ongoing Maintenance**
- Notice is suggested.
- Notice is suggested.

**Options**
- Presume lead-based paint
- Use safe work practices on all surfaces
- Presume lead-based paint and/or hazards
- Use standard treatments
- Presume lead-based paint and/or hazards
- Abate all applicable surfaces
F. Lead Hazard Reduction Methods:

1. **Paint Stabilization**: reduces exposure to lead-based paint by addressing deteriorated paint on exterior and interior surfaces through repairs, safe paint removal, and repainting or abatement.

2. **Interim Controls**: *(24 CFR 35.1330)* Temporary measures to reduce human exposure to lead-based paint hazards through repairs, painting, maintenance, special cleaning, occupant protection measures, clearance, and education programs. Interim control methods require safe practices and include:

   - **Paint stabilization** – All deteriorated paint on exterior and interior surfaces must be stabilized through repairs, safe paint removal, and repainting.

   - **Treatment for friction or impact surfaces** – If lead-based paint is found and exceeds acceptable levels or is presumed, the conditions creating friction or impact with surfaces with lead-based paint such as those that rub, bind, or crush must be corrected. Examples of this work include re-hanging binding doors, installing door stops, or reworking windows.

   - **Treatment for chewable surfaces** – If a child under six has chewed surfaces known to contain lead-based paint or if lead-based paint is presumed, these surfaces must be enclosed or coated so they are impenetrable.

   - **Lead-contaminated dust control** – All horizontal surfaces that are rough, pitted, or porous such as bare floors, stairs, window sills, and window troughs must be covered with a smooth, dustable covering or coating such as metal coil stock, plastic, polyurethane, or linoleum. Carpeting must be vacuumed or rugs must be removed and vacuumed on both sides. Vacuuming must be done using HEPA vacuums.

   - **Lead-contaminated soil control** – If soil is lead-contaminated, interim controls that may be used include impervious surface coverings such as gravel, bark, and sod as well as land use controls such as fencing, landscaping, and warning signs.

Interim Controls (including Standard Treatments) *(24 CFR 35.1330)*: the workers should be trained in accordance with the OSHA Hazard communication requirements *(29 CFR 1926-59)* or either be supervised by an individual certified as a lead-based paint abatement supervisor, or must have successfully completed one of the following courses:

   - LBP abatement worker or supervisor *(40 CFR 745.225)*
   - Operations and Maintenance *(NETA)*
   - Remodeler’s and Renovator’s Lead-Based Paint Training Program* developed by HUD and the National Association of the Remodeling Industry; or,
   - An equivalent course approved by EPA or HUD

3. **Abatement**: permanently removes lead-based paint and lead-based paint hazards by removing lead-based paint and its dust, or permanently encapsulating or enclosing the lead-based paint, replacing components with lead-based paint, and removing or permanently covering lead-contaminated soil. Encapsulation and enclosure require ongoing maintenance to check their effectiveness.
Abatement must be conducted by certified abatement workers who successfully completed a lead-based paint abatement worker course accredited by EPA. These workers must be supervised by a lead-based paint abatement supervisor certified under a State program authorized by EPA, or conducted by EPA.

G. Safe Work Practices 24 CFR 35.1350

- Prohibited methods: Methods of paint removal listed in Sec. 35.140 shall not be used.

- Occupant protection and worksite preparation: Occupants and their belongings shall be protected, and the worksite prepared, in accordance with Sec. 35.1345.

- Specialized cleaning – After hazard reduction activities have been completed, the worksite shall be cleaned using cleaning methods, products, and devices that are successful in cleaning up dust-lead hazards, such as a HEPA vacuum or other method of equivalent efficacy, and lead-specific detergents or equivalent.

Prohibited Methods of Paint Removal (24 CFR 35.140)
The following methods shall not be used to remove paint that is, or may be, lead-based paint:

1. Open flame burning or torching.
2. Machine sanding or grinding without a high-efficiency particulate air (HEPA) local exhaust control.
3. Abrasive blasting or sandblasting without HEPA local exhaust control.
4. Heat guns operating above 1100 degrees Fahrenheit or charring the paint.
5. Dry sanding or dry scraping, except dry scraping in conjunction with heat guns or within 1.0 ft. (0.30 m.) of electrical outlets, or when treating defective paint spots totaling no more than 2 sq. ft. (0.2 sq. m.) in any one interior room or space, or totaling no more than 20 sq. ft. (2.0 sq. m.) on exterior surfaces.
6. Paint stripping in a poorly ventilated space using a volatile stripper that is a hazardous substance in accordance with regulations of the Consumer Product Safety Commission at 16 CFR 1500.3, and/or a hazardous chemical in accordance with the Occupational Safety and Health Administration regulations at 29 CFR 1910.1200 or 1926.59, as applicable to the work.

Occupant Protection (24 CFR 35.1345)
Occupants shall not be permitted to enter the worksite during hazard reduction activities (unless they are employed in the conduct of these activities at the worksite), until after hazard reduction work has been completed and clearance, if required, has been achieved.

Occupants shall be temporarily relocated before and during hazard reduction activities to a suitable, decent, safe, and similarly accessible dwelling unit that does not have lead-based paint hazards, except if:

- Treatment will not disturb lead-based paint, dust-lead hazards or soil-lead hazards;

- Only the exterior of the dwelling unit is treated, and windows, doors, ventilation intakes and other openings in or near the worksite are sealed during hazard control work and cleaned afterward, and entry free of dust-lead hazards, soil-lead hazards, and debris is provided;

- Treatment of the interior will be completed within one period of 8 daytime hours, the worksite is contained so as to prevent the release of leaded dust and debris into other areas, and treatment does not create other safety, health or environmental hazards (e.g.,
exposed live electrical wiring, release of toxic fumes, or on-site disposal of hazardous waste); or

- Treatment of the interior will be completed within 5 calendar days, the worksite is contained so as to prevent the release of leaded dust and debris into other areas, treatment does not create other safety, health or environmental hazards; and, at the end of work on each day, the worksite and the area within at least 10 feet (3 meters) of the containment area is cleaned to remove any visible dust or debris, and occupants have safe access to sleeping areas, bathroom and kitchen facilities. (HUD Interpretive Guidance 324 – "The term "interior work" refers to work in a single room. See also R12 and R19.)

The dwelling unit and the worksite shall be secured against unauthorized entry, and occupants' belongings protected from contamination by dust-lead hazards and debris during hazard reduction activities. Occupants' belongings in the containment area shall be relocated to a safe and secure area outside the containment area, or covered with an impermeable covering with all seams and edges taped or otherwise sealed. If contaminated, furniture and clothing should be cleaned before returning to the home.

**Worksite Preparation 24 CFR 35.1345**

1. The worksite shall be prepared to prevent the release of leaded dust, and contain lead-based paint chips and other debris from hazard reduction activities within the worksite until they can be safely removed. Practices that minimize the spread of leaded dust, paint chips, soil and debris shall be used during worksite preparation.

2. A warning sign shall be posted at each entry to a room where hazard reduction activities are conducted when occupants are present, or at each main and secondary entryway to a building from which occupants have been relocated; or, for an exterior hazard reduction activity, where it is easily read 20 feet (6 meters) from the edge of the hazard reduction activity worksite. Each warning sign shall be as described in 29 CFR 1926.52(m), except that it shall be posted irrespective of employees' lead exposure, and, to the extent practicable, provided in the occupants' primary language.

**H. Relocation Guidelines**

Relocation may be required for households when the site cannot be contained. The Sub-Grantee must determine if the lead-hazard reduction work will require relocation for the safety of the household. If so, the homeowners must relocate and is responsible for finding a safe place in which to stay. They are also responsible for any costs incurred. This is considered their contribution to the project.

*When is relocation required?*

- If the work site cannot be contained and will create safety, health, or environmental hazards, relocation will be required until all interior work is completed and passes the dust wipe clearance criteria.

- If the resident cannot enter important parts of their home such as the bathrooms or kitchen for several days, they may need to relocate temporarily.

*When is relocation not required?*

- The work will not disturb lead-based paint, create lead dust hazards and soil lead hazards
• The work on the interior of the home will be completed within one period in eight daytime hours, the site will be contained, and the work will not create other safety, health, or environmental hazards.

• Only the building’s exterior is treated; the windows, doors, ventilation intakes, and other openings near the worksite are sealed during hazard reduction activities and cleaned afterward; and a lead-free entry is available.

• Treatment will be completed within five calendar days; the area is sealed; at the end of each day, the area within 10 feet of the containment area is cleared of debris and cleaned; and at the end of the day, the occupants have safe access to sleeping areas, a bathroom and kitchen facilities; and treatment does not create other safety, health, or environmental hazards.

• Relocation of elderly occupants (62 and over) is not typically required. Complete disclosure of the nature of work must be provided and informed consent of the occupant is obtained before commencement of work. Children must not be present in the home.

What constitutes an appropriate relocation unit?
The Lead Safe Housing Rule requires that the relocation unit be lead-safe. There are two ways to demonstrate the lead-safety of a unit:

• Use post-1978 units
• Perform a clearance examination in the unit to ensure that there is no deteriorated paint or dust hazards

Commercial units such as hotels are exempt

Work in owner-occupied housing does not trigger the URA (Uniform Relocation Act). However, agencies may choose to adopt optional policies that define “hardship” situations for homeowners and pay certain costs related to the temporary relocation. These costs should be necessary and reasonable. Any such policy must be written and must be applied consistently.

Minimize the relocation time:
• Schedule the work to minimize the time the residents must be out of the home. When scheduling work:
  ○ The worksite must be properly contained and the resident may never enter during the course of the work.
  ○ Work areas must pass interim clearance before a resident can reoccupy the home
  ○ A final clearance is required at the end of the job, even after interim clearances have been done.
• Look for ways to streamline standard rehab procedures to ensure that jobs move more quickly.
• Maintain strict deadlines for contractors to finish the work and pass clearance.

I. Lead Hazard Criteria

• Deteriorated paint
• Lead in dust (clearance and/or risk assessment)
  ○ Floors 40 micrograms/ft²
  ○ Interior window sills 250 micrograms/ft²
  ○ Troughs 400 micrograms/ft² (clearance only)

• Lead in soil (risk assessment)
  ○ Play areas of bare soil 400 parts per million (ppm)
  ○ Other soils in remainder of yard 1200 parts per million (ppm)
J. Resources on Lead Based Paint Regulations

- **HUD’s Lead-Safety Regulation** – 24 CFR Part 35
  "Requirements for Notification, Evaluation, and Reduction of Lead-Based Paint Hazards in Federal Owned Residential Property and Housing Receiving Federal Assistance; Final Regulation (September 15, 1999)"

- **HUD Interpretive Guidance**, April 16, 2001

- **HUD Guidelines for Evaluation and Control of Lead-Based Paint Hazards**

- **HUD Office of Healthy Homes & Lead Hazard Control:**
  Website: [http://www.hud.gov/offices/lead](http://www.hud.gov/offices/lead)
  Regulation Hotline: (202) 755-1822 ext 104
  E-mail: lead_regulations@hud.gov

- **The National Center for Lead-Safe Housing**: [www.lead-safehousing.org](http://www.lead-safehousing.org)
  Implementing HUD’s Lead-Safety Regulation
  Internet Guide to the Rule, Model Documents and Specifications

- **The Lead Listing (for HUD)**: [www.leadlisting.org](http://www.leadlisting.org), 1 (888) LEADLIST
  Lists companies providing lead services and training opportunities

  Lists companies providing lead services

- **EPA**: [www.epa.gov/opptintr/lead](http://www.epa.gov/opptintr/lead), National Lead Information Center: 1 (800) 424-5323

- **HUD Website on Lead Safe Work Practices**:
  (Amended on 11/19/12)
DECLARATION OF DEED RESTRICTION AND COVENANT

THIS DECLARATION OF DEED RESTRICTION AND COVENANT is made this ______ day of ______, 20__, by ____________________ ("Homeowner"), the fee simple owner of certain property further described herein.

RECITALS:

WHEREAS, Homeowner is the beneficiary of expenditure of federal funds amounting to ____________________ pursuant to the Home Investment Partnership Program ("HOME Program") from the Joplin HOME Consortium, which were used for the acquisition of the residential building on the following described real property in the County of Jasper, State of Missouri (the "Property"):

____________________________________

Also known as: _______________________

WHEREAS, the Homeowner, as the beneficiary of the expenditure of HOME Program funds, has agreed to record a deed restriction and covenant that runs with the Property to ensure that certain affordability and occupancy requirements are met.

NOW, THEREFORE, the following is established as a deed restriction and covenant running with the Property:
1. **Affordability Requirements.** The use of the Property shall comply with the affordability requirements established by the U.S. Department of Housing and Urban Development ("HUD"), which are currently set forth in 24 CFR Section 92.254, including, as follows:

A. **Acquisition.** Housing that is for acquisition by a family must be single family housing. The housing must have a purchase price that does not exceed 95 percent of the median purchase price for the area [CFR 24 92.254(a)(2)(i)].

B. **Period of Affordability.** The HOME-assisted housing must meet the affordability requirements for no less than the applicable period. Homeownership assistance HOME amount per-unit is under $_______ and is therefore subject to the minimum period of affordability of _______ years [CFR 24 92.254(a)(4)].

C. **Occupancy Limitations.** The Property must be the principal residence of Homeowner and Homeowner’s family throughout the period of affordability, unless the property is resold pursuant to Section C. below [CFR 24 92.254(a)(3)].

D. **Recapture Provisions.** Recapture provisions ensure that the City of Joplin, acting as the Joplin HOME Consortium Participating Jurisdiction (PJ), recoups a portion of the HOME assistance to the homebuyers, if the housing does not continue to be the principal residence of the family for the duration of the period of affordability [CFR 24 92.254(a)(5)]. The recapture rate for the Property is as follows:

The HOME investment amount is to be recaptured on a twenty percent (20%) prorata basis for the time the homeowner has owned and occupied the housing measured against the required affordability period of _______ years. THEREFORE, the amount to be recaptured is reduced by twenty percent (20%) of the entire HOME investment amount, or ________________, every year the homeowner occupies the housing unit until the ____ year affordability period has been completed.

The PJ has structured its recapture provisions based on its program design and market conditions. The period of affordability is based upon the total amount of HOME funds subject to recapture [CFR 24 92.254(a)(5)(ii)]. When the recapture requirement is triggered by a sale (voluntary or involuntary) of the housing unit, the amount recaptured cannot exceed the net proceeds, if any. The net proceeds are the sales price minus superior loan repayment (other than HOME funds) and any closing costs [CFR 24 92.254(a)(5)(ii)(A)].
2. **Term.** The affordability requirements stated in this Deed Restriction and Covenant shall encumber the Property for ______ years, from ______, 20__ through and including ______, 20__ ("the period of affordability") and shall not be amended or modified without the express written consent of the City of Joplin (The Participating Jurisdiction for the Joplin Consortium).

3. **Enforcement.** The provisions of this Deed Restriction and Covenant may be enforced by City of Joplin and/or HUD, or appropriate representatives thereof.

Homeowner: 

City of Joplin (PJ):

Printed Name ____________________________

Troy Bolander
Planning and Community Development
STATE OF MISSOURI  )
   ) ss.
JASPER COUNTY  )

On this ___ day of ___, _____ before me personally appeared ______________, a single person to me known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same as their free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my official seal at my office in Joplin, Missouri, the day and year above written.

My commission expires: ________________

______________________________
Notary Public

STATE OF MISSOURI  )
   ) ss.
COUNTY OF JASPER  )

On this ___ day of ___, _____, before me, appeared Troy Bolander, to me personally known, who being by me duly sworn did say that he is the Program Manager of the HOME Program for the City of Joplin, Missouri, a Municipal Corporation, and that said instrument was signed on behalf of said City of Joplin, and said Troy Bolander acknowledged said instrument to be the free act and deed of said corporation.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my notarial seal at my office in Joplin, Missouri, the day and year last above written.

My commission expires: ________________

______________________________
Notary Public
Grantee SF-424's and Certification(s)